



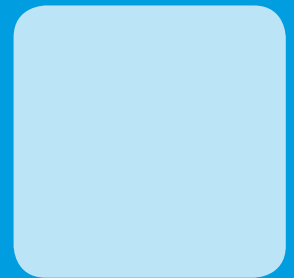
**International Compliance Association**  
*Excellence through Education*



**La Touche Training**  
*the legal training consultants*

# ICA INTERNATIONAL DIPLOMA IN ANTI MONEY LAUNDERING (IRELAND)

An internationally recognised professional qualification awarded in association with University of Manchester Business School



The University of Manchester  
Manchester Business School



# AN INTRODUCTION TO THE ICA INTERNATIONAL DIPLOMA IN ANTI MONEY LAUNDERING

As a professional in the field of anti money laundering and fraud prevention, you are already well aware of the benefits of and the regulatory requirements for training. The ever changing environment has given rise to an entire money laundering prevention training industry, offering a wide variety of options. The ICA is amongst the foremost of the few that provide an international perspective on anti money laundering. By offering certificated qualifications, awarded in association with University of Manchester Business School, the ICA is confident its graduates are well equipped to deal with the anti money laundering issues which arise in their day to day activities.

The ICA International Diploma in Anti Money Laundering is unique. It is designed for anti money laundering professionals who already have a degree of experience. The Diploma provides detailed and comprehensive knowledge as well as practical skills, allowing financial services practitioners to undertake their responsibilities more efficiently and effectively. By drawing upon international best practice, it helps prepare individuals to adapt to the external environment.

Written and delivered by experienced industry practitioners, the Diploma is both relevant and topical. Subjects included in the programme are explored in-depth with extensive and jurisdiction specific course material that can be used in the workplace. The Diploma will help you develop best practice initiatives and prepare you to face present and future challenges, which in turn minimises risk for your firm.

This certificated programme is a benchmark qualification, illustrating the highest standards in anti money laundering practice. The Diploma is awarded in association with the prestigious University of Manchester Business School. Attainment of the qualification is an internationally recognised mark of excellence. The Diploma provides valuable reference material and support for those in the anti money laundering environment. Those who have undertaken the Diploma say their performance in the workplace improves and opportunities for career progression have been enhanced.



“An excellent course covering all the relevant areas of AML/TF, the tutors are experienced and informative and the interactive approach to learning definitely has more impact.”

Paul Simmons, Head of FCPU, M&G Investments



“I think it is the best AML training/course that you can find in Europe. I highly recommend the course.”  
Anna Pilch, Compliance Officer, Ireland

## KEY FEATURES

- A graduate level programme resulting in a professional qualification
- Awarded by the ICA in association with University of Manchester Business School
- Run at a Dublin city centre venue
- 9 - 12 months' duration
- Comprehensive, detailed course materials that can be used in the workplace
- Written by experienced industry practitioners
- Internationally focussed
- Irish jurisdiction specific material
- Detailed support readings and texts
- Extensive online resource materials
- Dedicated tutor support
- Variety of study options available

## KEY BENEFITS

- Career progression
- Improved knowledge, developed skills
- Reduced reputational and financial risk for firms
- Enhanced workplace performance
- Allows use of the designation Int. Dip (AML)
- Potential for career progression
- Professional membership of the International Compliance Association
- Verifiable evidence of learning

## WHY CHOOSE A PROFESSIONAL QUALIFICATION

Increasingly employers are looking for evidence of a certain level of knowledge and a professional qualification from the ICA provides just that. In some jurisdictions it is even compulsory to hold an ICA qualification before undertaking certain roles.

The qualifications are practical and give you the necessary knowledge and skills to fulfil your professional responsibilities.

Having an ICA qualification is a mark of achievement and recognition. It makes a statement about who you are and helps advance your career. An ICA qualification can make a real difference to you and your organisation.



## WHO THE DIPLOMA WILL BENEFIT

- Money Laundering Reporting Officers and Deputies
- Money Laundering Prevention Officers and Deputies
- Managers with responsibility for internal anti money laundering and / or compliance controls
- Risk Managers
- Internal and external audit staff
- Regulatory representatives
- Law enforcement representatives
- Accountants
- Lawyers
- Company Secretaries
- Anyone who requires an in-depth knowledge of the fundamental regulatory principles in relation to money laundering reporting and prevention

## PROFESSIONAL QUALIFICATION AWARD

The ICA International Diploma in Anti Money Laundering is awarded in association with University of Manchester Business School. Quality assured by the University, the programme is at honours degree level, which designates the programme "Graduate Diploma". The Diploma has been mapped in accordance with the Higher Education Qualification Framework. Furthermore, a number of universities may give credit for the work that is completed on the Diploma against other and possible masters level qualifications. For further information, visit the International Compliance Association website.

## DURATION OF PROGRAMME

We understand the nature of the work / life balance. With that in mind we have structured the programme to allow for maximum flexibility, accommodating the demands of family, career and study. If 6 hours of study are undertaken per week, the ICA International Diploma in Anti Money Laundering can usually be completed in 12 months.

## PROGRAMME STUDY OPTIONS

The Diploma is available through public courses, distance learning, in-house and for very experienced practitioners, by exam only.

### Public Courses

The public courses, which are run in Dublin, comprise four full-day workshops. They have a limited number of places at any one time in order to maximise the learning experience. Workshops provide an additional opportunity for interaction and networking.

Minimum numbers in any jurisdiction must be met in order for public courses to run. Where a public course does not meet the required number, individuals who have enrolled will be invited to transfer onto the distance learning option.

### Distance Learning

An exercise work book is included in course materials to ensure as thorough an education as is possible. This is supplemented still further with on-line testing and presentations on studying specific modules that can be downloaded. Those who choose this study option can also be allocated a mentor and receive additional support from a dedicated tutor.

### In-house Courses

In-house options are available to those firms who wish to put a minimum of 7 people through the Diploma programme at any time. There are several advantages to this option including a reduction in the price per head and the ability to include processes unique to the firm in workshop discussions. For more information about this option, contact Adrian Kiernan at [akiernan@latouchetraining.ie](mailto:akiernan@latouchetraining.ie)

### Exam Only

Those who are very experienced practitioners and hold appropriate qualifications may be eligible for the exam only option. Such individuals are exempt from attending workshops and from submitting assignments. Those who select this option are provided with manuals, support readings and texts, and their achievement is measured on the basis of their examination performance. However, the International Compliance Association always recommends undertaking the fullest programme available to ensure the best possible learning experience.





## SUMMARY OF STUDY FORMAT

All who enrol on the Diploma will receive:

- Comprehensive course manuals, support readings and texts
- On-line support
- Sample assessments
- Study handbook

## COURSE ASSESSMENT

- Two written assignments, approximately 3,000 words in length
- 3 hour 15 minute open-book examination

## ADMISSION REQUIREMENTS

The ICA International Diploma in Anti Money Laundering has a practical focus and is designed for individuals who intend to carry out a senior role in money laundering prevention, or work within the fraud prevention function. The entry criteria are:

- Sound educational background, e.g. degree or professional qualification in an appropriate discipline **or**,
- Attainment of the ICA Certificate in Anti Money Laundering Awareness (International or UK) **or**,
- Three years relevant work experience
- All applicants need the ability to complete the reading and written aspects of the course in English

If you would like to discuss the Diploma and how it might fit in with your current role and career aspirations, contact Adrian Kiernan at La Touche Training on 01 878 8263.

## FEES

The fee for the Public Course and Distance Learning is €2,200 per person. VAT does not apply. Discounts are available if 3 or more people from the same firm enrol at the same time. Please contact Adrian Kiernan for full details.

## HOW TO APPLY

Download the enrolment form at [www.latouchetraining.ie](http://www.latouchetraining.ie)

## NOT SURE AND WANT TO KNOW MORE?

If you would like to talk through the qualification in more detail to decide if it is right for you, get in touch with our course tutors who will be pleased to discuss any queries.

Call +44(0)121 362 7532 or email [ict@int-comp.com](mailto:ict@int-comp.com)

# COURSE CONTENT

## Unit 1: International Anti Money Laundering (AML) 'Combating the Financing of Terrorism (CTF)' Strategies International and Regional Bodies

- International initiatives
- Terrorist financing
- The USA Patriot Act and US extraterritoriality

## Unit 2: National CTF Frameworks

- The UK AML and terrorist financing model
- The Jersey strategy on terrorist funding and money laundering
- The Guernsey strategy on terrorist funding and money laundering
- Alternative national AML models

## Unit 3: Money Laundering and Terrorist Financing Techniques and the Vulnerabilities of Specific Financial Services Products

- The process of money laundering
- Limitations of the 3-stage analysis of money laundering
- Vulnerabilities of specific services and products

## Unit 4: The Duties and Responsibilities of the MLRO

- The role of the MLRO
- Generating management information
- Common MLRO problems

## Unit 5: Recognition, Handling and Reporting Transactions

- The legal obligation to report
- Designing an effective internal reporting system
- The MLRO evaluation process
- Making a Suspicious Transaction Report (STR) to law enforcement

## Unit 6 : Post-reporting Considerations

- Handling the risk of committing the tipping off offence
- Responding to discovery and enforcement orders
- Subsequent client review techniques
- Secure record retention

## Unit 7: Dealing with the Authorities

- Law enforcement consent
- Production Orders
- Fraud and International Cooperation notices
- Restraint and Confiscation Orders
- Civil asset recovery

## Unit 8: Designing a Comprehensive AML Framework for a Financial Services Business

- Formulating an AML financing policy
- Formulating AML procedures and drafting an AML handbook
- The MLRO confirmation form
- Eligible introduction rules

## Unit 9: Customer Due Diligence (CDD) in Practice and Risk Profiling

- Taking a risk-based approach to CDD
- Monitoring client activity
- Basel Committee for Banking Supervision
- The Wolfsberg Group of Banks
- Transparency International

## Unit 10: Engendering an Effective Compliance Culture and Maintaining a High Level of Awareness

- Common cultural barriers
- Staff training
- Competence testing
- Continuing Professional Development (CPD) for MLROs

## INTERNATIONAL COMPLIANCE ASSOCIATION

The ICA is a professional, awarding body with a global membership.



Since it was founded in 2001, thousands of individuals have completed ICA's diploma and certificate programmes all over the world. ICA's internationally recognised qualifications are a benchmark of professionalism, competence and excellence. The ICA is the government appointed Lead Provider of Financial Industry Competency Standards, accredited training and assessment programmes in the field of compliance in Singapore. The ICA helps businesses, regulatory authorities, government agencies and clients promote best practice through education, dialogue, information exchange and detailed resource material. The ICA aims to help improve the performance of individuals, firms and the industry as a whole.

### DETAILS OF OTHER QUALIFICATIONS AVAILABLE

The ICA delivers a wide range of qualifications, appropriate to the financial services sector, both in the UK and overseas. For beginners and experienced practitioners alike, the ICA Certificate and Diploma qualifications increase knowledge, improve skills and enhance understanding. Certificate programmes are designed for entry-level staff new to a particular discipline. Content focuses on awareness and on the introduction of the key issues involved. They form a solid foundation for future learning. Diploma programmes are designed for more senior practitioners, those who have already amassed a level of experience. Certificate and Diploma qualifications cover the following subjects:

- Anti Money Laundering
- Compliance
- Financial Crime Prevention

### THE INTERNATIONAL COMPLIANCE ASSOCIATION AND STANDARDS OF COMPETENCE

ICA qualifications are awarded in association with the University of Manchester Business School and are mapped into The National Occupational Standards of Competence. These standards, created by the UK Financial Skills Partnership, (FSP), are in Anti Money Laundering, Compliance and Countering Financial Crime. All ICA Diplomas are

recognised by the FSP at the highest level. They are classified as 'recommended' on the FSP official Examination List. Full details can be found at [www.fssc.org.uk](http://www.fssc.org.uk). Additionally, ICA qualifications are recognised by regulators and education authorities globally as a benchmark of competence and excellence.

### MEMBERSHIP OF THE INTERNATIONAL COMPLIANCE ASSOCIATION

Upon successful completion of the ICA International Diploma in Anti Money Laundering, an invitation is extended to become a member of the International Compliance Association and use the designation MICA, (Member, International Compliance Association). After a further 5 years professional experience Members of the ICA are invited to apply for Fellowship status and use the designation FICA, (Fellow, International Compliance Association). For more information on ICA Membership please visit the ICA Website.

### UNIVERSITY OF MANCHESTER BUSINESS SCHOOL

MBS is the UK's leading centre for business research, offering an impressive range of programmes and services to both students and businesses. We provide world-class business and management education to undergraduates, postgraduates, highly-experienced practitioners and people wishing to pursue academic careers. We compete on a global scale for the best students and staff, and continue to generate ground-breaking research that is recognised by peers and practitioners across the globe.



### LA TOUCHE TRAINING

La Touche Training, Ireland's leading legal training provider, has provided innovative legal training to a variety of sectors since 1995. Over 55,000 professionals from Regulatory Agencies, Government Departments, Local Authorities, the HSE and Multinational Organisations attend our training courses. La Touche Training is a sister company of the International Compliance Association.



Further information on La Touche Training can be found at [www.latouchetraining.ie](http://www.latouchetraining.ie) or you can contact Adrian Kiernan on 01 878 8263.

For more information:

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